

MANDATORY DISCLOSURES (2018-19)

1)	AICTE File No.	Western/1-3508371811
	Date & Period of last Approval	4/4/2018 2018-19

2)	Name of the Institution	St. Francis Institute of Management & Research
	Address of the Institution	Mt. Poinzur, S.V.P. Road, Borivali (W) Mumbai 400 103
	Phone No.	022 28917089/ 28958503
	E-Mail	info@sfimar.org
	Website	www.sfimar.org

3)	Type of Institution	Private Self-Financed
		Roman Catholic Minority

4)	Name of Trust	Society of the Congregation of Franciscan Brothers
	Address	Mt. Poinzur, S.V.P. Road, Borivali (W) Mumbai 400 103
	Registration No & Date	F-4209 dt. 28/12/1976

5)	Name of Affiliating University	University of Mumbai
	Address	Fort Campus, Mumbai
	Latest Affiliation For	Permanent Affiliation

6)	Name of Director	Dr. S.S. MOHANTY
	Phone No.	28926969

7)	Governance:	
a)	Members of the Governing Council of the Society	(Annexure A)
b)	Members of the Academic Advisory Body	(Annexure B)
c)	Members of the Local Managing Committee	(Annexure C)
d)	Frequency of the Board Meetings: - Governing Council - Academic Advisory Council - Local Managing Committee	Quarterly Once a Year Quarterly
e)	Organisational Chart & Processes	(Annexure D)

8.	Programmes: - Name of Programmes approved by AICTE: 1. MMS : 120 (Start year 2002) 2. PGDM : 60 (Start Year: Aug. 2012) 3. MMM : 30 (Start Year: 2009) 4. MFM : 30 (Start Year 2009)	
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8.1.	Name	MMS
	No. of Seats	120
	Duration	2 years (Full Time)
	Cut-off marks for admissions during the last two years:	Merit Score in Entrance Test
	2018-2019	CAP (Minority) : 53.25
		CAP (HU) : 90.0
		CAP (OHU) :-
		CAP (OMS) : 95.87
		Institutional : 40.70
	2017-2018	CAP (Minority) : 52
		CAP (HU) : 82
		CAP (OHU) : 80
		CAP (OMS) : 95.4
		Institutional : 55

9.	Placement Facilities: MMS/PGDM	
	Campus Placement in the last two years with minimum salary and average salary :	
	Maximum	Minimum
	Average	
2018-2019	7.00 LPA	3.00 LPA
2017-2018	7.00 LPA	3.00 LPA
		4.00 LPA

10	NO. OF FACULTY MEMBERS:	
	a) Permanent Faculty	21
	b) Resource Person	3
	c) Profile of each faculty .	Annex E

No. of faculty employed and left during the last two years:	
No of faculty employed (2017-2018)	: 3
No of faculty left (2017-2018)	: 1
No of faculty employed (2016-2017)	: 1
No of faculty left (2016-2017)	: 1

11.	Profile of director with qualification, total experience, age and duration of employment. (Annexure F)
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12.	Whether student assessment of faculty is in force : Yes
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13.	Fees:
	Details of fee, as approved by State Fee Committee, for the institution: Tuition Fees: MMS (2018-19) : Rs. 1,90,000/-
	Time schedule for payment of fee for the entire programme: At the time of admission.
	Fee waivers granted : No

14.	Admissions:																																																						
	No. of seats sanctioned with the year of approval:																																																						
	MMS : 120 (2006)																																																						
	PGDM: 60 (2012)																																																						
	MMM/MFM: 30 (2009)																																																						
	No. of students admitted under various categories in the last two years:																																																						
	<table border="1"> <thead> <tr> <th>Year</th> <th>Minority</th> <th>Instl. Seats</th> <th>CAP</th> <th>J&K</th> <th>Open</th> </tr> </thead> <tbody> <tr> <td>MMS 2018-2019</td> <td>61</td> <td>24</td> <td>35</td> <td>1</td> <td></td> </tr> <tr> <td>MMS 2017-2018</td> <td>61</td> <td>24</td> <td>35</td> <td></td> <td></td> </tr> <tr> <td>PGDM 2018-2019</td> <td></td> <td></td> <td></td> <td></td> <td>60</td> </tr> <tr> <td>PGDM 2017-2018</td> <td></td> <td></td> <td></td> <td></td> <td>60</td> </tr> <tr> <td>MFM 2018-2019</td> <td></td> <td></td> <td></td> <td></td> <td>30</td> </tr> <tr> <td>MFM 2017-2018</td> <td></td> <td></td> <td></td> <td></td> <td>30</td> </tr> <tr> <td>MMM 2018-2019</td> <td></td> <td></td> <td></td> <td></td> <td>9</td> </tr> <tr> <td>MMM 2017-2018</td> <td></td> <td></td> <td></td> <td></td> <td>21</td> </tr> </tbody> </table>	Year	Minority	Instl. Seats	CAP	J&K	Open	MMS 2018-2019	61	24	35	1		MMS 2017-2018	61	24	35			PGDM 2018-2019					60	PGDM 2017-2018					60	MFM 2018-2019					30	MFM 2017-2018					30	MMM 2018-2019					9	MMM 2017-2018					21
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15.	Calendar:				
	<table border="1"> <tr> <td>Last date for request of applications (MMS-Institutional)</td> <td></td> </tr> <tr> <td>Last date for submission of applications</td> <td></td> </tr> </table>	Last date for request of applications (MMS-Institutional)		Last date for submission of applications	
Last date for request of applications (MMS-Institutional)					
Last date for submission of applications					

	Dates for Groups (GD) Interviews	Schedules for the Admissions are regulated as per DTE Information Brochure and Institute Admission Brochure and Institute's website.
	Dates for announcing final results	
	Release of admission list	
	Date for acceptance by the candidates	
	Last date for Closing of admission	
	Starting of the academic session	
The policy of refund of the fee in case of withdrawal: As per DTE Information Brochure – Refund Rules		

16.	<p>Criteria and Weightages for Admission: The Institute accepts the students as per the merit list given by the DTE both for Minority, Institutional and CAP seats.</p>
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17.	<p>Application Form: Application Form can be downloaded from our Website. Online submission not available.</p>
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18.	<p>Criteria for GD/Personal Interview:</p> <ul style="list-style-type: none"> -<i>Norms adopted for call the candidates for Group Discussions/Personal Interview:</i> Students are selected on the basis of Merit List only. - <i>Attributes for evaluation in GD/PI:</i> Conducted by DTE.
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Infrastructural Information:**Library:****Computer Centre:****Classroom:**

Canteen



Auditorium



Indoor Games:



Outdoor Games:



Girls Hostel



GOVERNING COUNCIL MEMBERS – Annexure A

1	Bro. Jose Valliara	President, Chairman	
2	Bro. Mathew Thekkemury	Vice President	
3	Bro. Dominic Polaprayil	Member	
4	Bro. Alphonse Nesamony	Chairman, SFIMAR - Member	
5	Bro. Stany Mittathanical	Member	
6	Bro. Xavier Munda	Member	
7	Bro. Jose Thuruthiyil	Member	
8	Bro. K.C. Joseph	Member	
9	Mr. Johny Joseph	Member	
10	Mr. Augustine Kurias	Member	
11	Mr. Albert D'souza	Member	
12	Dr. Thomas Mathew	Member	
13	Dr. S.S. Mohanty	Director – Member Secretary	
14	Fr. E. Abraham	Member	
15	Mr. Shailesh Naidu	Member	
16	Rep.of AICTE		
17	Rep. of AICTE-WRO		
18	Rep. of DTE		
19	Rep.of Univ of Mumbai		

Academic Advisory Council (B)

Sr. No.	Name	Designation
1	Bro. Alphonse Nesamony	Chairman
2	Dr. S. S. Mohanty	Director
3	Dr. Thomas Mathew	Advisor
4	Dr. G. Ramesh	Programme Head - MMS
5	Dr Dilip Patil	Professor & Director, DLLE, University of Mumbai
6	Prof. Natika Poddar	Programme Head - MFM/MMM
7	Dr. M.G Shirahatti	President-Board of Studies, University of Mumbai
8	Dr. Neerav Nagar	Faculty member, Indian Institute of Management, Ahmadabad
9	Mr. Nirmal Rivaria	Vice-president, Edelweiss

Placement Advisory Committee

Bro. Alphonse Nesamony, Chairman, SFIMAR

Dr. Subranshu.S. Mohanty - Director, SFIMAR

Bro. Xavier Munda - Registrar, SFIMAR

Dr. G. Ramesh - Programme Head – MMS

Dr. Sulbha Raorane - Programme Head – PGDM

Ms. Sangeeta Varma - Manager Corporate Relations & Placements ,

Ms. Navika Pednekar Asst. Manager Corporate Relations & Placements

Ms. Susan Joshi Managing Partner - Sorento Health Care Communications

Mr. Anuj Nath Galgotia -Vice President & Head HR & Admin.,RNA Group

Mr. Dhvani Mehta - Director- Opportune Technologies

Mr. Nirav Mehta - MD, Magnet Technologies

LOCAL MANAGING COMMITTEE (Annexure C)

Sr. No.	Name	
1	Bro. Mathew Thekemury	President of the Management
2	Bro. Dominic Polaprayil	Secretary of the Management
3	Bro. Alphonse Nesamony	Representative of the Management
4	Dr. S. S. Mohanty	Member Secretary
5	Bro. Xavier Munda	Academic Representative
6	Mr. Dominic D'Souza	Industry Representative
7	Ms. Vaishali Kulkarni	Technologist
8	Dr. G. Ramesh	Teacher Representative
9	Dr. Sulbha Raorane	Teacher Representative
10	Dr. Natika Poddar	Teacher Representative
11	Ms. Bloswita Rodrigues	Non-teaching Representative

Annexure E

20. FACULTY PROFILE



FACULTY PROFILE

Name	Prof. Natika Poddar
Designation	Assistant Professor
Department	Finance
Date of Joining the Institution	1/7/2008

Qualifications:

Degree	UG	PG	Ph.D
B.Com	Dist.		
M.Com		I st class	
M.Phil		IIInd class	
MBA		IIInd class	
DTM		1 st class	
CPCM		IIInd class	
PGDFM		1 st class	
Ph.D			Qualified

Total Experience in Years:

Teaching	Industry	Research
11	2	

	National	International
Papers Published	4	
Papers Presented in Conference		

Ph.D	Field	University
January 2013	Commerce	JJTU

Ph.D Projects Guided	
Books Published/IPRs/Patents	
Professional Memberships	
Consultancy Activities	
Awards	Distinguished Teacher Award from MTC Global, 5 th Faculty Branding Awards 2017 by EET CRS and Award for Research Excellence by The Indus Foundation
Grants Fetched	
Interaction with professional institutions	ICWAI Co-ordinator for tie-up with ICWAI professional body.



FACULTY PROFILE

Name	Prof. Sinimole K.R.
Designation	Assistant Professor
Department	Operations
Date of Joining the Institution	15/6/09

Qualifications:

Degree	UG	PG	Ph.D
B.Sc	1 st class		
M.Sc		1 st class	
Ph.D			Qualified

Total Experience in Years:

Teaching	Industry	Research
17	-	

	National	International
Papers Published	1	
Papers Presented in Conference		

Ph.D	Field	University
	Health Systems Management	Tata Institute of Social Sciences

Ph.D Projects Guided	Ph.Ds:
Books Published/IPRs/Patents	
Professional Memberships	
Consultancy Activities	
Awards	
Grants Fetched	
Interaction with professional institutions	



FACULTY PROFILE

Name	Ms. Simmi R Prasad
Designation	Asst. Professor
Department	Marketing
Date of Joining the Institution	1/7/2008

Qualifications:

Degree	UG	PG	Ph.D
B.Sc	IInd class		
M.A.		IInd class	
MBA		1 st class	
NET		Qualified	

Total Experience in Years:

Teaching	Industry	Research
15	3	

	National	International
Papers Published		
Papers Presented in Conference		

Ph.D	Field	University

Ph.D Projects Guided	Ph.Ds:	
Books Published/IPRs/Patents		
Professional Memberships		
Consultancy Activities		
Awards		
Grants Fetched		
Interaction with professional institutions		



FACULTY PROFILE

Name	Prof. Sulbha S Raorane
Designation	Asst. Professor
Department	H.R.
Date of Joining the Institution	15/3/2006

Qualifications:

Degree	UG	PG	Ph.D
B.Com	IInd class		
LLB	IInd class		
M.Com		1 st class	
MBA		1 st class	
LLM		IInd class	
M.Phil		Qualified	
Ph.D			Qualified

Total Experience in Years:

Teaching	Industry	Research
17	0	

	National	International
Papers Published	1	
Papers Presented in Conference		

Ph.D	Field	University
	Role of Indian Legislation for empowerment of working women in India	JJTU

Ph.D Projects Guided	Ph.Ds:
Books Published/IPRs/Patents	Books : 3
Professional Memberships	
Consultancy Activities	
Awards	
Grants Fetched	
Interaction with professional institutions	



FACULTY PROFILE

Name	DR. VAISHALI KULKARNI
Designation	ASST. PROFESSOR
Department	SYSTEMS
Date of Joining the Institution	16/7/2007

Qualifications:

Degree	UG	PG	Ph.D
B.Com	1 st class		
MCM		1 st class	
MBA		1 st class	
Ph.D			Yes

Total Experience in Years:

Teaching	Industry	Research
17	8	

	National	International
Papers Published		
Papers Presented in Conference		

Ph.D	Field	University

Ph.D Projects Guided	Ph.Ds:	
Books Published/IPRs/Patents		
Professional Memberships		
Consultancy Activities		
Awards		
Grants Fetched		
Interaction with professional institutions		



FACULTY PROFILE

Name	PROF. SHILPA PESWANI
Designation	Asst. Professor
Department	Finance
Date of Joining the Institution	1/7/2008

Qualifications:

Degree	UG	PG	Ph.D
B.Com	1 st		
M.Com		IIInd class	
AICWA		IIInd class	
PGDFM		1 st class	
MA		IIInd class	

Total Experience in Years:

Teaching	Industry	Research
10	10	

	National	International
Papers Published	1	
Papers Presented in Conference		

Ph.D	Field	University

Ph.D Projects Guided	Ph.Ds:
Books Published/IPRs/Patents	
Professional Memberships	
Consultancy Activities	
Awards	
Grants Fetched	
Interaction with professional institutions	



FACULTY PROFILE

Name	PROF. VAIBHAV KULKARNI
Designation	Asst. Professor
Department	H.R.
Date of Joining the Institution	1/6/2009

Qualifications:

Degree	UG	PG	Ph.D
B.Sc	IInd class		
LLB		IInd class	
MBA		1 st class	

Total Experience in Years:

Teaching	Industry	Research
18	2	

	National	International
Papers Published	1	
Papers Presented in Conference		

Ph.D	Field	University

Ph.D Projects Guided	Ph.Ds:	
Books Published/IPRs/Patents		
Professional Memberships		
Consultancy Activities		
Awards		
Grants Fetched		
Interaction with professional institutions		



FACULTY PROFILE

Name	PROF. SUJEESHA N NAIDU
Designation	ASST. PROFESSOR
Department	H.R.
Date of Joining the Institution	2/2/2007

Qualifications:

Degree	UG	PG	Ph.D
B.Sc	1 st class		
MBA		1 st class	
M.Phil.		IInd class	

Total Experience in Years:

Teaching	Industry	Research
10	3.6	

	National	International
Papers Published	1	
Papers Presented in Conference		

Ph.D	Field	University

Ph.D Projects Guided	Ph.Ds:
Books Published/IPRs/Patents	
Professional Memberships	
Consultancy Activities	
Awards	
Grants Fetched	
Interaction with professional institutions	



FACULTY PROFILE

Name	PROF. VASUDHA T RAO
Designation	Asst. Professor
Department	IT & Operations
Date of Joining the Institution	1/8/2006

Qualifications:

Degree	UG	PG	Ph.D
B.Sc	IInd class		
MCM		1 st class	
M.Phil.		IInd class	
e-DAST,DAPR			

Total Experience in Years:

Teaching	Industry	Research
13	1	

	National	International
Papers Published	1	
Papers Presented in Conference		

Ph.D	Field	University

Ph.D Projects Guided	Ph.Ds:	
Books Published/IPRs/Patents		
Professional Memberships		
Consultancy Activities		
Awards		
Grants Fetched		
Interaction with professional institutions		



FACULTY PROFILE

Name	Dr. RAMESH G
Designation	Associate Professor
Department	Marketing
Date of Joining the Institution	2/7/2006

Qualifications:

Degree	UG	PG	Ph.D
B.Sc	1 st		
M.Sc		1 st	
MBA		1 st	
M.Phil		IInd	
FDP (IIM,Indore)		Qualified	
DMC		Gr.A	
Ph.D			From Alagappa Univ.
UGC NET		Qualified	

Total Experience in Years:

Teaching	Industry	Research
11	15	

	National	International
Papers Published	2	
Papers Presented in Conference		

Ph.D	Field	University
	"Retail Brand Equity A Study on Mumbai organized retail outlets"	Alagappa University Karaikudi

Ph.D Projects Guided	Ph.Ds:
Books Published/IPRs/Patents	
Professional Memberships	
Consultancy Activities	
Awards	
Grants Fetched	
Interaction with professional institutions	



FACULTY PROFILE

Name	PROF. KIRAN RODRIGUES
Designation	Assistant Professor
Department	Finance
Date of Joining the Institution	1/8/2012

Qualifications:

Degree	UG	PG	Ph.D
B.Com	1 st class		
MMS		1 st class	
UGC-NET		Qualified	

Total Experience in Years:

Teaching	Industry	Research
5		

	National	International
Papers Published		
Papers Presented in Conference		

Ph.D	Field	University

Ph.D Projects Guided	Ph.Ds:	
Books Published/IPRs/Patents		
Professional Memberships		
Consultancy Activities		
Awards		
Grants Fetched		
Interaction with professional institutions		



FACULTY PROFILE

Name	PROF. JESTIN JOHNY
Designation	Assistant Professor
Department	Marketing
Date of Joining the Institution	1/7/2013

Qualifications:

Degree	UG	PG	Ph.D
B.Com	1 st class		
MBA		1st class	

Total Experience in Years:

Teaching	Industry	Research
6	6	

	National	International
Papers Published	1	
Papers Presented in Conference		

Ph.D	Field	University

Ph.D Projects Guided	Ph.Ds:	
Books Published/IPRs/Patents		
Professional Memberships		
Consultancy Activities		
Awards		
Grants Fetched		
Interaction with professional institutions		



FACULTY PROFILE

Name	MS. SANCHAYITA BANERJEE
Designation	Assistant Professor
Department	Marketing
Date of Joining the Institution	1/6/2013

Qualifications:

Degree	UG	PG	Ph.D
B.A.	IInd		
M.A.		1st	
P.G.D.M		1st	
M.A. (Applied Maths)		IInd	

Total Experience in Years:

Teaching	Industry	Research
13	2	

	National	International
Papers Published	3	
Papers Presented in Conference		

Ph.D	Field	University

Ph.D Projects Guided	Ph.Ds:	
Books Published/IPRs/Patents	Books : 1	
Professional Memberships		
Consultancy Activities		
Awards		
Grants Fetched		
Interaction with professional institutions		



FACULTY PROFILE

Name	DR. SMITA JESUDASAN
Designation	Assistant Professor
Department	Finance
Date of Joining the Institution	23/6/2014

Qualifications:

Degree	UG	PG	Ph.D
B.Com	Dist. from Mangalore University, 2002		
M.Com (Finance)		Dist. from Mangalore University, 2004	
M.Phil (Commerce)		II Class from Madurai Kamaraj University, 2006	
MBA (HR)		I Class from IGNOU, 2007	
Ph.D (Commerce)			Highly Commended In Commerce from Bharathidasan Univ., 2014
UGC NET - Management		December 2012	
UGC NET - Commerce		June 2013	

Total Experience in Years:

Teaching	Industry	Research
13	-	

	National	International
Papers Published	2	
Papers Presented in Conference		

Ph.D	Field	University
Yes	Commerce	Bharathidasan Univ, Tiruchirappalli, Tamil Nadu

Ph.D Projects Guided	Ph.Ds: NIL
Books Published/IPRs/Patents	
Professional Memberships	
Consultancy Activities	
Awards	
Grants Fetched	
Interaction with professional institutions	



FACULTY PROFILE

Name	PROF. PUSHKAR PARULEKAR
Designation	ASST. PROFESSOR
Department	Finance
Date of Joining the Institution	1/7/2014

Qualifications:

Degree	UG	PG	Ph.D
B.E. (EXTC)	IInd class		
MMS	1 st class		
UGC-NET		Qualified	

Total Experience in Years:

Teaching	Industry	Research
6	5	

	National	International
Papers Published(Research)	1	
Papers Presented in Conference	1	

Ph.D	Field	University

Ph.D Projects Guided	Ph.Ds:	
Books Published/IPRs/Patents		
Professional Memberships		
Consultancy Activities		
Awards		
Grants Fetched		
Interaction with professional institutions		



FACULTY PROFILE

Name	Mr. Jackson John
Designation	Assistant Professor
Department	Marketing
Date of Joining the Institution	2/6/2014

Qualifications:

Degree	UG	PG	Ph.D
B.Sc	1 st class		
M.Sc		1 st class	
MBA		1 st class	

Total Experience in Years:

Teaching	Industry	Research
3	5	

	National	International
Papers Published	2	
Papers Presented in Conference		

Ph.D	Field	University

Ph.D Projects Guided	Ph.Ds:	
Books Published/IPRs/Patents		
Professional Memberships		
Consultancy Activities		
Awards		
Grants Fetched		
Interaction with professional institutions		



FACULTY PROFILE

Name	Mr. Paul Alukal
Designation	Assistant Professor
Department	H.R./Gen. Mgmt.
Date of Joining the Institution	6/7/2015

Qualifications:

Degree	UG	PG	Ph.D
B.Tech.	7.7 (CGPA)		
PGDM		2.91(CGPA) IIM,Indore	

Total Experience in Years:

Teaching	Industry	Research
2	2.5	-

	National	International
Papers Published	1	
Papers Presented in Conference		

Ph.D	Field	University
Ph.D Projects Guided	Ph.Ds:	
Books Published/IPRs/Patents		
Professional Memberships		
Consultancy Activities		
Awards		
Grants Fetched		
Interaction with professional institutions		



FACULTY PROFILE

Name	VINITA BHATIA
Designation	Assistant Professor
Department	Marketing
Date of Joining the Institution	3/7/2017

Qualifications:

Degree	UG	PG	Ph.D
MBA		1 st cl.	
M.Com		1 st cl.	
UGC-NET			
Ph.d			Qualified

Total Experience in Years:

Teaching	Industry	Research
7	0	

	National	International
Papers Published		
Papers Presented in Conference		

Ph.D	Field	University
	Tourism	MDS University
Ph.D Projects Guided	Ph.Ds:	
Books Published/IPRs/Patents		
Professional Memberships		
Consultancy Activities		
Awards		
Grants Fetched		
Interaction with professional institutions		



FACULTY PROFILE

Name	AKHILESH YADAV
Designation	Assistant Professor
Department	Finance
Date of Joining the Institution	3/7/2017

Qualifications:

Degree	UG	PG	Ph.D
MMS		1 st cl.	
NET		Qualified	

Total Experience in Years:

Teaching	Industry	Research
6	0	

	National	International
Papers Published		
Papers Presented in Conference		

Ph.D	Field	University
Ph.D Projects Guided	Ph.Ds:	
Books Published/IPRs/Patents		
Professional Memberships		
Consultancy Activities		
Awards		
Grants Fetched		
Interaction with professional institutions		



FACULTY PROFILE

Name	Col. Venkatraman
Designation	Assistant Professor
Department	Management
Date of Joining the Institution	1/7/2016

Qualifications:

Degree	UG	PG	Ph.D
B.Tech.	1 st cl.		
M.Sc		II nd cl.	
M.Phil.		1 ST cl.	

Total Experience in Years:

Teaching	Industry	Research
12	32	

	National	International
Papers Published		
Papers Presented in Conference		

Ph.D	Field	University

Ph.D Projects Guided	Ph.Ds:	
Books Published/IPRs/Patents		
Professional Memberships		
Consultancy Activities		
Awards		
Grants Fetched		
Interaction with professional institutions		



FACULTY PROFILE

Name	Dr. Mohan Mathew
Designation	Resource Person
Department	
Date of Joining the Institution	1 st August 2017

Qualifications:

Degree	UG	PG	Ph.D
M.A.		II	
Ph.d			Qualified

Total Experience in Years:

Teaching	Industry	Research
10	2.5	

	National	International
Papers Published		
Papers Presented in Conference		

Ph.D	Field	University
Economics		Mumbai Univ.
Ph.D Projects Guided	Ph.Ds:	
Books Published/IPRs/Patents		
Professional Memberships		
Consultancy Activities		
Awards		
Grants Fetched		
Interaction with professional institutions		

Annexure F

CURRICULUM VITAE

Name: Subhransu Sekhar Mohanty

India Mobile: +91-9987225321/+91-9167835009

Personal Email: drssmohanty@gmail.com

Domain Knowledge:

➤ **Academics:**

- i. **Governance and Administration-** E-Governance, Participative Management, Campus ERP, Cloud Repository
- ii. **Quality Management and Accreditation-** Completed ISO 9001: 2008 & 2015, Completed Accreditation process with National Assessment & Accreditation Council (NAAC), India. Reviewed the requirements under NBA (India), NIRF (India), Equis (Europe) AMBA (UK) and AACSB (US).
- iii. **Academics & Research-** Implemented Academic Development Value Chain, Research Development Value Chain, Cloud Research Repository, Online attendance for faculty, staff and students. In progress, faculty exchange, student exchange, collaborations on curriculum development, joint research, etc.
- iv. **Academia- Industry Liaison-** Implemented Corporate On-Boarding Programme, Entrepreneurship and Business Incubation Zone (SFIMAREbiz), Consulting, Summer & Winter Projects, Live on-campus projects for faculty and students, Guest Industry Speaker, Eminent Speaker Series, Management Development Programme, Alumni Connect, etc
- v. **Branding & Promotion-** through participation in national awards, quality management and accreditations, Brand Ambassadors Programme, Advertorials and Interviews in Media and Publicity.

➤ **Industry:**

- i. **Global Investment strategy and asset allocation-** multi-country/multi-product investment strategy and asset allocation
- ii. **Performance measurement-** attribution analysis, benchmarking, etc.
- iii. **Product Development-** investment funds and structured investment products,
- iv. **Proficiency in macro-economic analysis and modeling-** Developed economic sustainability index (Index of Economic and Social Freedom) for parameter smoothing.
- v. **Managed diversified global portfolio-** worth US\$ 600 million for high net worth and institutional investors in equities, fixed income, currencies and in alternate investment products such as commodities, venture capital funds, private equities, hedge funds, real estate investment funds, etc.
- vi. **Experience in other related investment banking areas-** equity research (developed online equity research delivery software), capital structuring and developing financing models, particularly relevant to growth capital, takeovers, mergers and acquisitions, IPOs; brokerage etc.
- vii. **IT:** Experience in Capacity-building, Business-oriented IT solutions for Financial Markets, Stock Exchange Operations, Clearing and Settlement, Straight-Through-Processing and Online Trading, Technology Component Compatibility Evaluation, Customization, Integration, FIX Protocol Issues, building APIs, etc. Proficiency in Black-Litterman global equilibrium asset allocation model. Working experience in Excel macros, Matlab, Barra, Style Advisor, RiskMetrics, etc.
- viii. **Regulatory:** Compliance and risk management in capital markets, banking and investment holding institutions.

CURRICULUM VITAE

Name: Subhransu Sekhar Mohanty

India Mobile: +91-9987225321/+91-9167835009

Personal Email: drssmohanty@gmail.com

Academic Background:

- MBA in Global Asset and Wealth Management (GAWM) from Simon Fraser University, Vancouver, Canada, 2006.
- Doctorate in Commerce with specialization in Finance (Capital Markets) in 1987 from Banaras Hindu University, Varanasi, India (under Professor R. A. Singh, an alumni of Stanford University).
- Master of Commerce with First Class in 1980 from Banaras Hindu University, Varanasi, India.
- Bachelor of Commerce with Distinction in 1978 from Utkal University, Orissa, India.

Academic Honor:

- Global Asset and Wealth Management Graduate Fellowship (2005), Simon Fraser University, Canada. Final Project: "Global Equity Allocation with Index of Economic Freedom- Using Black-Litterman's Absolute View Approach". Managed the CDNS 10 million Student Investment Advisory Fund as Head of Investment Strategy.
- Post-Doctoral Fellowship (1988-89), University Grants Commission, New Delhi, India. Topic of research: "Equity Price Behaviour in India."
- Doctoral Fellowship (1981-83) Banaras Hindu University, Varanasi, India. Topic of research: "Capital Issues in India (1951-84)"

Work Experience:

- **Director of St. Francis Institute of Management & Research, Mumbai, India (August 2014 – Present)**

Job Profile & Achievements:-

Overall management of the Institute with focus on further development and strengthening of management programmes (MBA) and curricula, pedagogy, research, entrepreneurship and student placement through enhancing quality standards in management education, accreditation and collaborations. Initiated many new measures such as E-governance and administrative streamlining, the 'Thought Leader' and 'Lead by Example' programs, research development value chain, entrepreneurship and business incubation centre. Winner of 'Best Educational Quality Enhancement Team 2014' award from National Centre of Quality Management. Winner of 'Most upcoming B-School 2015' award from Associated Chamber of Commerce & Industry (ASSOCHAM). Received coveted Grade 'A' accreditation from National Assessment and Accreditation Council (NAAC) in March 2016. Currently implementing ISO 9001: 2015 Quality Management System.

- **Managing Director and CEO of SMART INTERNATIONAL HOLDINGS, INC., DELAWARE, USA, and its subsidiary SMART Business Consultants LLC Muscat, Oman, (2010 – July 2014)**

Job Profile & Achievements: - Consulting in the areas of project feasibility, economic, industry/sector and investment climate analysis, asset class risk-return outlook, Investment Policy, Global Investment Strategy and Asset Allocation (strategic and tactical asset allocation, benchmarking, performance attribution analysis and selection of fund managers and managed product development), Market Research, Financial Engineering, Strategic

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Planning, Capacity Building, Business Oriented IT solutions for financial markets, Straight Through Processing and Online Trading and Securities Markets Regulations and Operations. Recent key consulting practices include global investment strategy; asset management and securities market regulations and development including developing a commingled fund of funds product for one of the largest hedge fund managers in the UK managers with AUM of \$2.4 billion and being part of the team for setting up of the Capital Market Authority in Kuwait (project delayed until further intimation). Bids/assignments:- Developing a Fund of Funds for one of the largest mutual funds in India; implementation of an Investment Banking Platform at a local commercial bank and implementation of Risk Management solution for a local commercial bank in Oman, implementation of Investment Management System for a Pension Fund in Oman, mandate to assist in the sale of majority stake of an Omani listed company, etc.

- **VICE PRESIDENT- ASSET MANAGEMENT & INVESTMENT BANKING, NATIONAL SECURITIES COMPANY SAOG, MUSCAT, OMAN, (2007- 2010)**
Job Profile & Achievements: - Setting up the Asset management and the Investment banking Divisions of the company with strategic focus on product development (local, regional and global), investment management with risk-return smoothing based on various themes and strategies, capital structuring, mergers and acquisitions as well as fund raising through public issues.
- **SMART INTERNATIONAL HOLDINGS, INC., DELAWARE, USA (global consulting) President & CEO (2003 – 2007) Website: www.smartinternationalholdings.com**
- *Job Profile & Achievements:* - Consulting in the areas of Investment Policy, Global Investment Strategy and Asset Allocation (strategic and tactical asset allocation, benchmarking, performance attribution analysis and selection of fund managers and managed product development), Market Research, Financial Engineering, Strategic Planning, Capacity Building, Business Oriented IT solutions for financial markets, Straight-Through-Processing and Online Trading and Securities Markets Regulations and Operations. Other consulting experience includes advising Muscat Securities Market on regulatory issues, market indices and setting up of Investors Information Center. Other consulting assignments include financial and business restructuring of a Kraft paper project, setting up of a green-field sanitary fittings project and setting up of a 5-star hotel project.
- **SHEIKH MOHAMMED. AL HASHAR GROUP, MUSCAT, SULTANATE OF OMAN Director (Projects & Investment) (1998 - 03)**
Job Profile & Achievements: - Managed a local and overseas investment portfolio for the Group. Achieved an average annualized return of 18% on the overall portfolio. Set up and worked on a few green field projects in Oman- sanitary fittings, mineral water bottling, and hospitality industry. Also worked on revival of a sick unit.
- **DAMAC, DUBAI, UAE Fund Manager (1997-98)**
Job Profile & Achievements: - Managed portfolio and direct investments in Oman, the UAE and Qatar, as well as in the US and other major markets, for the Chairman of DAMAC Group. Achieved an annualized return of 86.5% on the local direct portfolio and 17.3% on the overall portfolio. Prepared feasibility studies and set up investment banking outfits in the UAE, Oman and Qatar. Prepared business plans for expansion of one existing public limited company in Oman (industrial catering) and conversion of an existing limited liability company (investment banking) in Oman.
- **NATIONAL BANK OF OMAN, MUSCAT, SULTANATE OF OMAN**

CURRICULUM VITAE

Name: Subhransu Sekhar Mohanty

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Divisional Manager-Investment Banking (1996-97)

Job Profile & Achievements: - Set up the Investment Banking Division for the Bank. Developed equity research, benchmarking index for the local market, fund/portfolio management, issue management businesses and supervised local brokerage operations. Developed and managed new discretionary portfolio business of US\$ 315 million for four large local Pension Funds. Successfully launched and managed the NBO (Open-ended) Capital Guaranteed Fund. Achieved annualized returns ranging from 110-122% for the portfolio clients, against a market return of 77%. Introduced Fidelity's global fund products in Oman through the Bank.

➤ **GALFAR ENGINEERING & CONTRACTING COMPANY LLC**

Assistant Manager – Investments (1994- 96)

Job Profile & Achievements: - Advising Group Chairman and Vice Chairman on their personal investments in venture capital, private equities, offshore funds, equities, fixed income securities, derivatives and real estate worldwide. Achieved a return of around 26% on a global and highly diversified and substantial investment portfolio consisting of venture capital, private equities/projects, offshore funds, equities, fixed income securities, derivatives and real estate worldwide. Participated in merger and acquisitions in local banking, insurance and brokerage sectors in Oman. Also reviewed project/contract proposals in the constructions, and oil and gas sectors.

➤ **BHUBANESWAR STOCK EXCHANGE, ORISSA, INDIA**

Executive Director (1993)

Job Profile & Achievements: - Overall administration and development of the Exchange.

➤ **SECURITIES & EXCHANGE BOARD OF INDIA, BOMBAY HEAD OFFICE**

Assistant General Manager (Officer Grade 'C')(1989-93)

Job Profile & Achievements: -Policy and procedural issues related to the setting up of an automated stock exchange, securities depository, pricing and vetting of IPOs, regulation of mutual funds, takeovers, mergers & acquisitions, insider trading, foreign institutional investment and investor protection.

Conferences, Teaching, Research & Publications:

- **Guest Academic Speaker at the Inaugural Session of Fund Forum Middle East 2015 (4th October 2015)** on 'ASSET ALLOCATION WITH FORWARD-LOOKING INDICATORS', at Dubai International Financial Centre, Dubai, UAE. This is a significant annual event with around 250 participants from various global investment fields from around the world.
- **Chairman, Technical Session on 'Capital Markets' at the 5th International Finance Conference, SIFICO 2016** organized by K.J.Somaiya Institute of Management Studies and Research, Mumbai.
- **Speaker & Panelist, SunGard Dubai City Day 2011 (November 23rd), Hotel Atlantis, Dubai** on "CAPITALIZING ON CHANGE IN GLOBAL ENERGY & COMMODITY MARKET"
- **Speaker & Moderator, TradeTech India 2011 (April 5-6), Hyatt Regency, Mumbai, India** "MAXIMISING ON THE CHANGING DYNAMICS OF INDIA'S GROWING ASSET MANAGEMENT MARKET TO ACHIEVE OPTIMUM EFFICIENCY"

CURRICULUM VITAE

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- **SIMON FRASER UNIVERSITY, FACULTY OF BUSINESS ADMINISTRATION**, Teaching Assistantship (Sept.-Dec., 2004).
- **UNIVERSITY OF STRATHCLYDE'S INTERNATIONAL MBA PROGRAM IN MUSCAT, OMAN**, Visiting Faculty (2001).
- **BANARAS HINDU UNIVERSITY, INDIA**, Post-doctoral research on "Equity Price Behavior in India", Doctoral research on "Capital Issues in India – 1951 to 1984" (1985-89).
- **RAIRANGPUR COLLEGE, UTKAL UNIVERSITY, INDIA**, Lecturer in Commerce, (1983-85).
- **Author of several articles and research papers** on economy and capital market related topics published in Indian and Middle Eastern journals and newspapers.

Training and Internship of Relevance:

- **TSX Venture Exchange, Vancouver, Canada**- A summer internship project assignment (for the fulfillment of the MBA Programme) on a strategic project on 'Efficiency and Competitive Sustainability of Public Venture Capital Markets- A Comparison of TSX Main Exchange, TSX Venture Exchange, AIM-London Stock Exchange, Australian Stock Exchange, EURONEXT- ALTERNEXT, NASD OTC Bulletin Board, Pink Sheets, NASDAQ Small Cap Market and CNQ-Canada'.
- **Capital Market Regulatory Environment and Investor Protection in the USA (SEC) and Canada (Ontario Securities Commission)**, April to May 1992- Country visit program sponsored by International Organization of Securities Commissions (IOSCO), Montreal, Canada.
- **Financial Research Methodology**, March 1990- Merrill Lynch, Singapore and Unit Trust of India Institute of Capital Markets, Mumbai.
- **Stock Exchange Studies**- January-April 1990, Bombay Stock Exchange Training Institute.
- **Computer Simulation Program in Securities Trading and Market Operations**- March 1990, Unit Trust of India Institute of Capital Markets, Mumbai.

Personal Details:

Indian National, Year of birth: 1959

Hobbies- Travelling, reading, movies, Indian classical music, badminton and tennis.

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ANNEXURE 'D'

SFIMAR GOVERNANCE STRUCTURE CHART

